Atty Docket No. 015358-006710US

PTO FAX NO.:

703-746-7240

ATTENTION:

Customer Service, Initial Patent Examination

Group Art Unit: 2171

TELEPHONE NO.:

703-306-5631

OFFICIAL COMMUNICATION REPLY TO NOTICE TO FILE MISSING PARTS

FOR ENTRY IN U.S. APPLICATION NO. 10/054,749 FILED JANUARY 18, 2002

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following document(s) in re Application of DAVID G. STORK et al., U.S. Application No. 10/054,749, filed January 18, 2002 for METHOD AND APPARATUS FOR PERMISSIONS BASED ACTIVE DOCUMENT WORKFLOW are being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Document(s) Attached

- 1. SB/21 Transmittal (1 page)
- 2. SB/17 Fee Transmittal (in duplicate) (2 pages)
- 3. Declaration and Power of Attorney (2 pages)
- 4. Supplemental Application Data Sheet (4 pages)
- 5. Copy of Notice of Missing Parts (1 page)

Number of pages being transmitted, including this page: 11

Dated: April 5, 2002

PLEASE CONFIRM RECEIPT OF THIS PAPER BY RETURN FACSIMILE AT (650) 326-2400

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, CA 94111-3834

Telephone: 650-326-2400 / Fax: 650-326-2422

NO.42

PTO/SB/21 (08-00)

Please type a plue sign (+) inside this box —> + Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Pagerwork Reduction Act of 1995, no parsons are required to respond to a collection of information unless it dignitive a wild OMB control number.

			Appli	cation Number	10/054,749		
TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Filing	Date	January 18, 2002		
			First	Named Inventor	Stork, David G.		
			Group Art Unit		2171		
			Exam	iner Name	To Be Assigned		
Total Number of Pages In This Submission 1			Attorn	ey Docket Number	015358-006710US		
		ENCL	SURES	(check all that apply)			
Fee Transmittal I	Form		Assignment Papers (for en Application)		After Allowance Communication to Group		
Fee Attached	d	☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Re	sponse	1 =	•	ed Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final	Petition Routing Stip (PTO/SB/69) and Accompanying Petition			Proprietary Information			
Affidavits/dec	claration(s)	Petition Provisi	i to Conv onal App		Status Letter		
Extension of Time	Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):			
Express Abandor	☐ Terminal Disclaimer☐ Request for Refund			Declaration and Power of Attorney, Supplemental Application Data Sheet,			
Information Discl	CD, Number of CD(s)			Copy of Notice to File Missing Parts, Certification of Facsimile Coversheet			
Certified Copy of Document(s)				authorized to charge any additional fees to 30.			
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Pursuant to the Notice to File Missing Parts of Non-Provisional Application dated February 7, 2002, the enclosures listed above are to be made of record in the above-identified case. The fees required are listed on the attached Fee Transmittal to be deducted from our Deposit Account listed above.					
	SIGNA	TURE OF A	PPLIC	ANT, ATTORNEY, O	R AGENT		
Firm	Townsend and Tov						
and Individual name	Sujit B. Kotwal Reg. No. 4				43,338		
Signature S.B. Kobwal.							
Date April 5, 2002							
		CEF	RTIFICA	TE OF MAILING			
l hereby cartify that th 7240 on April 5, 2002	ils correspondence is 2	being facsin	nile trans	mitted to the Patent and	Trademark Office, Fax No. (703) 746-		
Typed or printed nam	e Krista K. Memi	imac		···			
Signature	Whine		711	Virina	Date April 5, 2002		
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Burden Hour Statement: This Refin is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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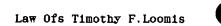
PTO/SB/17 (09-00)
Approved for use involgin 10/31/2002. OMB 0851-0032
Patent end Trademerk Office: U.S. DEPARTMENT OF COMMERCE
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EEE TO A MOMENTAL	Complete if Known					
FEE TRANSMITTAL	Application Number	10/054,749				
for FY 2001	Filing Date	January 18, 2002				
	First Named Inventor	Stork, David G.				
Patont fees are subject to annual revision.	Examiner Name	To Be Assigned				
	Group Art Unit	2171				
TOTAL AMOUNT OF PAYMENT (\$) 1950	Attorney Docket No.	015358-006710U8				

TOTAL AMOUNT OF PAYMENT (\$) 1950					Att	Attorney Docket No.		015359-006710US					
METHOD OF PAYMENT					I	FEE CALCULATION (continued)					******		
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:						3.7	3. ADDITIONAL FEES						
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Deposit							127	60	1	227	25	Surcharge - late provisional filing fee or cover sheet.	
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Name	- 1	<u></u>					147	_,	520	147	2,520	For filing a request for reexamination	
Charge Any Additional Fee Required Under 37 CFR 1.18 and 1,17					112			112	820*	Requesting publication of SIR prior to Examiner action			
80	e 37 CF			apapha.			113			113	1,840*	Requesting publication of SIR after Examiner action	
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Large Er	ntity S	Small Er	ntity				128	•		228	980	Extension for reply within fifth month	
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101 74				Utility fiting fee	1	740	121	28	siO	221	140	Request for oral hearing	
106 88 107 51				Design fillng fee Plant fillng fee	,		. 138			138	1,510	Petition to Institute a public use proceeding	
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2. EXTRA	CLAIM	FEES				<u>-</u>	144	62		244	310	Plant issue fee	\square
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Total Ciaims	66	-20**			10W \$18	Paid = \$626	123	50)	123	50	Petitions related to provisional applications	
independent Claims	6	-3**	- 3	×	\$84 :	= \$252	126	18	Ю	126	180	Submission of information Disclosure Strat	
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110	18	210	9	over original p	ams in exc extent	sese of 20 and	Othe	er fee (s	specify))		Į.	
SUBTOTAL (2) (\$)1080					The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.								
**ar numbar proviously peld, if greater, For Releases, see above				*Re	*Reduced by Basic Filing Foe Paid SUBTOTAL (3) (\$)190								

SUBMITTED BY Complete (If applicable)								
Name (Print/Type)	Sujit B. Kotwał	Registration No. (Attornoy/Agent)	48,336	Telephone	650-326-2400			
Signature	5.B. Kot	mal_	Date	April 5, 2002				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



CERTIFICATE OF Applicant(s): John J. Ke		Docket No. CDUS.0007						
Serial No. 10/011,647				Group Art Unit 2151				
Invention: A Process for Storing Electronic Documents on an Internet-Accessible Document Storage System								
. ,								
I hereby certify that this		Power of Attorney						
	nitted to the United States Patent	(Identify type of correspondence)	No 71	N2_746_773Q				
		. CIM HOMEHIER Griew II was	<u></u>					
on April 15, (Date)	2002							
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

POWER OF ATTORNEY

Official

Docket No. CDUS.0007

Name of Applicant

ControlDoes Operating Corp. Address of Applicant: 16650 Westgrove Drive, Suite 400

Addison, TX 75001

Title:

A Process for Storing Electronic Documents on an Internet-Accessible

Document Storage System

Serial No., if Any:

10/011647

Filed:

December 4, 2001

TO THE ASSISTANT COMMISSIONER FOR PATENTS

The Assistant Commissioner for Patents Washington, D.C. 20231

Honorable Sir: I hereby appoint:

Timothy F. Loomis, Reg. No. 37,383

as principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all future correspondence to:

Timothy F. Loomis

Law Offices of Timothy F. Loomis

2932 Hagen Drive

Plano, TX 75025

(972) 747-0706; (972) 747-9996 Fax

CEO

Dated:



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
VASHINGTON, D.C. 80831
WWW.uspita.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST

first named applicant

ATTORNEY DOCKET NUMBER

10/054,749

01/18/2002

David G. Stork

015358-006710US

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834 CONFIRMATION NO. 4058
FORMALITIES LETTER

OC000000007434954

Date Mailed: 02/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1080.
 - \$828 for 46 total claims over 20.
 - \$252 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1950.

05/09/2002 VTRUUNG1 00000007 201430

A copy of this notice MUST be returned with the reply.

01 FC:105

130.00 CH

Customer Service Center

Initial Patent Examination Division (703) 308-1202

05/08/2002 VTRUONG1 00000018 201430 10054PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101 740.00 CH 02 FC:102 252.00 CH 03 FC:103 828.00 CH

(from < 6503262422 > at 4/5/02 3:04:22 PM [Eastern Standard Time]